

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lorna W. Role et al.

Serial No. : 09/312,596

Filed : May 14, 1999

For : A FORM OF CYTOPLASMIC DOMAIN OF nARIA (CRD-  
NEUREGULIN) AND USES THEREOF



1185 Avenue of the Americas  
New York, New York 10036  
October 7, 1999

Assistant Commissioner for Patents  
Washington, D.C. 20231

ATTN: Application Processing Division  
Special Processing and Correspondence Branch

Sir:

**COMMUNICATION IN RESPONSE TO JUNE 22, 1999 NOTICE  
TO FILE MISSING PARTS OF APPLICATION UNDER 37 C.F.R. §1.53(d)  
AND PETITION FOR A TWO-MONTH EXTENSION OF TIME**

This Communication is submitted in response to a Notice to File Missing Parts of Application under 37 C.F.R. §1.53(d) issued in connection with the above-identified patent application on June 22, 1999. A response to the June 22, 1999 Notice was originally due August 22, 1999. Applicants request a two-month extension of time from August 22, 1999 to October 22, 1999. The fee for a two-month extension of time for a small entity is ONE HUNDRED NINTY DOLLARS (\$190.00) and a check including this amount is enclosed herewith. Applicant establishes small entity status hereinbelow. Thus, a response to the June 22, 1999 Notice is now due October 22, 1999. Accordingly, this Communication is being timely filed.

Applicants attach hereto a copy of the Notice as Exhibit A. Applicants hereby submit an executed Declaration and Power of Attorney pursuant to 37 C.F.R. §1.53(d) and in compliance with 37 C.F.R. §1.63 (Exhibit B hereto). The Declaration refers to the application's above-identified serial number and filing date.

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The surcharge for responding to the Notice to File Missing Parts of Application under 37 C.F.R. §1.53(d) is SIXTY FIVE DOLLARS (\$65.00) for a small entity. Applicants attaches hereto as Exhibit C a signed Verified Statement Claiming Small Entity Status and maintain that small entity status is applicable. A check including \$65.00 is enclosed herewith.

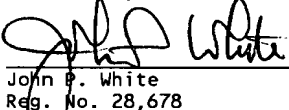
No fees other than the \$65.00 surcharge fee and the \$190.00 two-months extension of time fee are deemed necessary in connection with the filing of this Communication. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,



John P. White  
Registration No. 28,678  
Attorney for Applicants  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

 10/7/99  
John P. White Date  
Reg. No. 28,678

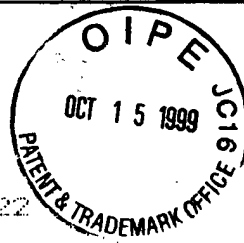


UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSION ON PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/312,596 05/14/99 ROLE



L 46839-B/JPW/

0212/0622

JOHN P WHITE  
COOPER & DUNHAM LLP  
1185 AVENUE OF THE AMERICAS  
NEW YORK NY 10036

NOT ASSIGNED

DATE MAILED:  
1646

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

06/22/99  
8/22/99

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement  
regarding such status (37 CFR 1.27).  
When additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

19/1999 TL0011 00000085 09312596 65.00 DP  
FC:205